

Annex 6.1: Details of Returns Being Received By DNBS as on January 31, 2007

Srl	Name of the Return	Short Name	Frequency	Reference Dates	Purpose	Who are supposed to file the return
1	Annual Returns By NBFCs/MNBCs	NBS 1	Yearly	31-March	Details of Assets And Liabilities	NBFCs-D/MNBCs
1	Annual Returns By RNBCs	NBS 1A	Yearly	31-March	Details of Assets And Liabilities	RNBCs
2	Half-Yearly Statement of etc By NBFCs and RNBCs	NBS 2	Half Yearly	31 March, 30 September	Capital Funds, Risk Assets, Asset Classification etc	NBFCs-D and RNBCs
3	Quarterly Return on Statutory Liquid Assets of NBFCs	NBS 3	Quarterly	31 March, 30-June, 30-September, 31 December	Statutory Liquid Assets	NBFCs-D
3	Quarterly Return on Statutory Liquid Assets of RNBCs	NBS 3A	Quarterly	31 March, 30-June, 30-September, 31 December	Statutory Liquid Assets	RNBCs
4	Monthly Return on Repayment of Deposits	NBS 4	Monthly	Month-end	Details of Public Deposits, Other Liabilities, Liquid Assets, Other Assets	NBFCs holding public deposits whose application for Certificate of Registration under Section 45-IA of RBI Act, 1934 have been rejected
5	Monetary and Supervisory Return	NBS 5	Quarterly	31 March, 30-June, 30-September, 31 December	Components of Assets, Liabilities, Interest Rates, Cash Inflow/Outflow etc.	NBFCs-D and RNBCs holding Public Deposits of Rs.20 crore and above as per the last balance sheet.
6	Monthly Return on Capital Market Exposure	NBS 6	Monthly	Month-end	Details of Capital Market Exposure	NBFCs-D holding public deposits of Rs.50 crore or above and RNBCs having aggregate liabilities to the depositors of Rs. 50 crore and above.
7	Monthly Return on Important Financial Parameters of NBFCs not accepting/holding public deposits and having asset size of Rs.100 crore and above		Monthly	Month-end	Sources and Application of Funds, Profit and Loss Account, Asset Classification, Bank's/Fis exposure on the company, Details of Capital Market Exposure etc.	NBFCs-ND having asset-size of Rs.100 crore and above.
8	Asset-Liability Management (ALM) Return		Half Yearly	31 March, 30 September	Structural Liquidity, Short-term dynamic liquidity, Interest Rate sensitivity etc.	All NBFCs having Asset Size of Rs.100 crore and above and/or Public Deposits of Rs.20 crore and above.

Note: NBFCs-D -> Deposit taking Non-Banking Financial Companies (NBFCs); MNBC -> Miscellaneous Non-Banking Company; RNBC-> Residuary Non-Banking Company; NBFCs-ND -> Non-Deposit taking NBFCs.