

Appendix Table VI.6: Select Financial Indicators of Primary Dealers

(Amount in Rs. crore)

| Sr. No. | Name of the Primary Dealers | Capital Funds (Tier I + Tier II+ eligible Tier III) | | CRAR (per cent) | | Stock of Government Securities and Treasury bills (Book Value/MTM) | | Total Assets (Net of current liabilities and provisions) | |
|---------|---|---|--------------|-----------------|-----------|--|--------------|--|---------------|
| | | 2006-07 | 2007-08 | 2006-07 | 2007-08 | 2006-07 | 2007-08 | 2006-07 | 2007-08 |
| 1 | | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 1. | Securities Trading Corporation of India Ltd. | 610 | 208 | 32 | 22 | 1,409 | 489 | 3,404 | 673 |
| 2. | SBI DFHI Ltd. | 1,009 | 1,095 | 80 | 81 | 627 | 956 | 1,289 | 1,794 |
| 3. | ICICI Securities Ltd. | 556 | 647 | 23 | 30 | 531 | 765 | 1,523 | 2,199 |
| 4. | PNB Gilts Ltd. | 493 | 520 | 34 | 35 | 1,872 | 1,894 | 2,306 | 2,216 |
| 5. | ABN AMRO Securities (India) Pvt. Ltd. | 149 | 177 | 34 | 26 | 306 | 948 | 578 | 1,151 |
| 6. | DSP Merrill Lynch Ltd. | 675 | 370 | 25 | 21 | 2,053 | 947 | 3,468 | 1,065 |
| 7. | Deutsche Securities (India) Pvt Ltd | 192 | 196 | 66 | 42 | 591 | 698 | 626 | 769 |
| 8. | IDBI Capital Market Services Ltd. | 341 | 101 | 22 | 30 | 23 | 338 | 363 | 400 |
| 9. | Lehman Brothers Fixed Income Securities Pvt. Ltd* | - | 297 | - | 77 | - | 549 | - | 615 |
| | TOTAL | 4,026 | 3,611 | 33 | 38 | 7,412 | 7,584 | 13,557 | 10,882 |

* : Lehman Brothers Fixed Income Securities Pvt. Ltd. started operations in India since November 1, 2007.

- : Not applicable.

Source: Primary Dealers' Returns.