

Annex VII
[Para 3.8.4 of Section I]

Format - PDR IV Return

Name of the Primary Dealer :

Quarterly return on select Financial & Balance Sheet indicators for quarter ended
(Rs. in crore)

<u>I. BALANCE SHEET INDICATORS</u>	Quarter ended (cumulative)	Previous Quarter
<i>SOURCES OF FUNDS</i>		
Share Capital		
Reserves & Surplus		
Deposits, if any		
Secured loans		
Unsecured loans		
TOTAL		
<i>APPLICATION OF FUNDS</i>		
Fixed Assets		
Gross Block		
less Depreciation		
Net block		
Add Capital work in progress		
Investments		
a. Govt. Securities		
1. Dated GOI securities		
2. State Govt. Securities		
3. T-bills		
b. Others (Specify)		
Current Assets, Loans and Advances		
(A) Current Assets		
Accrued Interest		
Stock-in-Trade		
Cash & Bank balance		
(B) Loans & Advances		
Less:		
Current Liabilities		
Provisions		
Net Current Assets		
Deferred Tax		
Miscellaneous Expenses not written off		

Others (specify)		
TOTAL		
<u>II. P& L INDICATORS</u>	Quarter ended (cumulative)	Previous Quarter
<i>INCOME</i>		
Discount Income		
1. G-sec		
2. Others		
Interest Income		
1. G-sec		
2. Call/Term		
3. Repo		
4. Others		
Trading Profits		
1. G-sec		
2. Others		
Other Income		
1. G-sec		
2. Others (specify)		
TOTAL INCOME		
<i>EXPENDITURE</i>		
Interest Expenses		
1. Call/Term		
2. Repo		
3. Borrowing from RBI		
4. Others		
Operating Expenses		
Establishment & Administrative Expenses		
Provisions against doubtful assets		
Depreciation on Fixed Assets		
Other expenses (specify)		
TOTAL EXPENDITURE		
PROFIT BEFORE TAX		
Less provision for taxation and deferred tax		
PROFIT AFTER TAX		
<u>III. FINANCIAL INDICATORS</u>		
Certain Key Figures		

<p>Dividend paid/proposed</p> <p>Retained earnings</p> <p>Average Earning assets</p> <p>Average Non-earning assets</p> <p>*** Average total assets</p> <p style="padding-left: 40px;">1. Average dated G-sec (Central and State)</p> <p style="padding-left: 40px;">2. Average T-Bills</p> <p style="padding-left: 40px;">3. Other average assets</p> <p>**** Average Interest bearing liabilities</p> <p style="padding-left: 40px;">1. Call borrowing</p> <p style="padding-left: 40px;">2. Repo</p> <p style="padding-left: 40px;">3. Borrowing from RBI</p> <p style="padding-left: 40px;">4. Others</p> <p>Average yield on assets</p> <p style="padding-left: 40px;">(Total interest income/Average Earning Assets)</p> <p>Average cost of funds</p> <p style="padding-left: 40px;">(Total interest expended/Average interest bearing liabilities)</p> <p>Net interest income</p> <p>Non-interest income</p> <p>Non-interest expenditure</p> <p>Net total income</p> <p>Measures of Return</p> <p>Return on Assets</p> <p>Before tax (PBT/Ave.Total Assets)</p> <p>After tax (PAT/Ave.Total Assets)</p> <p>Return on average Equity</p> <p>Before tax (PBT/Ave.Equity)</p> <p>After tax (PAT/Ave.Equity)</p> <p>Return on Capital Employed</p> <p>Before tax (PBT/(Owners' Equity+Total Debt))</p> <p>After Tax (PAT/(Owners' Equity+Total Debt))</p> <p>Net Margin Analysis</p> <p>Net Margin (PAT/Total Income)</p> <p>Interest expenses/Total income</p>		
<p><u>IV. PERFORMANCE INDICATORS</u></p> <p>NOF (Rs. in crore)</p>	<p>Quarter ended (cumulative)</p>	<p>Previous Quarter</p>

CRAR (as %)		
Average duration of the Portfolio (in years)		
Average leverage (as ratio)		
Effect of 1% shock in yields on portfolio value (Rs. in crore)		
***** MTM value of all securities (Rs. in crore)		

Notes:

1. The details of share capital, reserves, etc. may be enclosed as Annexes.
2. Where average figures are involved, it may be taken to mean as average of month end balances.

*** Average assets refer to the simple average of month end book balance.

**** Average liabilities refer to the simple average of month end book balance.

***** Before adjusting Repo transactions and MTM depreciation on IRS transactions.

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