**Quarterly Report by Entities Authorised to Operate Electronic Trading Platforms**

1. Name of the ETP Operator:
2. Name of the ETP:
3. ETP Authorisation No.:
4. Report for the quarter ending:
5. Details on operations and transactions (Table 1 and Table 2):

Table 1 – Details related to Operations of the ETP

|  |  |  |
| --- | --- | --- |
| **Sr. No.** | **Information Item** | **Response to be Provided by Entities operating ETPs** |
| 1 | **Number of trading members on the ETP** | At the beginning of quarter: |
| At the end of quarter: |
| 2 | **Events resulting in disruption of activities, during the quarter, if any:** |  |
| *2 (a)* | *Number of events resulting in disruption of activities* |  |
| *Of which number of events caused by cyber attacks* |  |
| *2 (b)* | *Details of events resulting in disruption of activities, including date/s of occurrence and date/s of reporting to the Reserve Bank* | Please attach annexure, wherever required. |
| 3 | **Instances of market abuse, during the quarter, if any:** |  |
| *3(a)* | *Number of instances of market abuse identified* |  |
| *3(b)* | *Details of the instances of market abuse identified, including date/s of occurrence and date/s of reporting to the Reserve Bank* | Please attach annexure, wherever required. |
| 4 | **Details about any material change in trading procedure or technology carried out during the quarter:** |  |

Table 2 – Details Related to transactions in the ETP during the quarter

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Sr. No.** | **Instrument name** | **Dealing mode**  **(RFQ/RFS/order matching etc.)** | **Number of transactions** | **Share of algo trades (% of no. of transactions)** | **Total Turnover (Amount)** | **Average bid-ask spread (where applicable)** |
|  |  |  |  |  |  |  |

(one row for each instrument hosted and each dealing mode provided by the ETP)

**Note:** *The entity operating an ETP shall submit this report, for each ETP which it is authorized to operate, at the end of every quarter through email (*[*mailto:reportfmd@rbi.org.in*](mailto:reportfmd@rbi.org.in)*) within fifteen calendar days from the end of the quarter.*