

Annex – I: Sources of Data

(1) Survey of India's Foreign Liabilities & Assets:

a) Data on Assets and Liabilities of Corporate Sector, data on Reinvested earnings, balances held abroad, export proceeds not realised by corporate sector, loans and deferred export credit extended by corporate sector, Other assets of offices of corporate sector abroad, receivable dividend and interest, Dividend, Royalties outstanding, if any.

b) Data on Assets & Liabilities of Insurance Sector.

(2) Balance held abroad by Government (Embassies' Balances).

(3) Loans to foreign Government (Budgetary Documents).

(4) Head office funds of the overseas branches of Indian Scheduled Commercial banks

(5) Subscription to International Monetary Fund (International Financial Statistics).

(6) Banking Assets & Liabilities (BAL) Statement.

(7) Statement of Non-resident Rupees Accounts maintained with RBI.

(8) India's External Debt – A Status Report/ Annual Report, Quarterly Statement.

(9) RBI Bulletin – BoP Data.

(10) International Banking Statistics (IBS).

(11) Data Template on International Reserves & Foreign Currency Liquidity.

(12) Annual Reports of International Institutions.

Annex-II: Item-wise Sources of Data

International Investment Position (Standard Components as per BOPM5)

Item

SOURCE OF IIP DATA

A. Assets

1. Direct investment abroad

1.1 Equity capital and reinvested earnings

1.1.1 Claims on affiliated enterprises RBI Bulletin BOP Statement

1.1.2 Liabilities to affiliated enterprises

1.2 Other capital

1.2.1 Claims on affiliated enterprises RBI Bulletin BOP Statement

1.2.2 Liabilities to affiliated enterprises

2. Portfolio investment

2.1 Equity securities

2.1.1 Monetary authorities

2.1.2 General government

2.1.3 Banks

Locational Banking Statistics

2.1.4 Other sectors \$

FLA Survey Data

2.2 Debt securities

2.2.1 Bonds and notes

2.2.1.1 Monetary authorities

2.2.1.2 General government

2.2.1.3 Banks

Locational Banking Statistics

2.2.1.4 Other sectors \$

FLA Survey Data

2.2.2 Money market instruments

2.2.2.1 Monetary authorities

2.2.2.2 General government

2.2.2.3 Banks

Locational Banking Statistics

2.2.2.4 Other sectors

3. Financial derivatives

3.1 Monetary authorities

3.2 General government

3.3 Banks

3.4 Other sectors

4. Other investment

4.1 Trade credits

4.1.1 General government

4.1.1.1 Long-term

4.1.1.2 Short-term

4.1.2 Other sectors

4.1.2.1 Long-term

4.1.2.2 Short-term

Data pertains to Export proceeds not realized by
Corporate Sector

4.2. Loans

4.2.1 Monetary authorities

4.2.1.1 Long-term

4.2.1.2 Short-term

4.2.2 General government

4.2.2.1 Long-term

Budget Documents, Partition debt payable by
Pakistan

4.2.2.2 Short-term

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| 4.2.3 Banks | |
| 4.2.3.1 Long-term | BAL Statement |
| 4.2.3.2 Short-term | |
| 4.2.4 Other sectors | |
| 4.2.4.1 Long-term \$ | FLA Survey Data |
| 4.2.4.2 Short-term | |
| 4.3 Currency and deposits | |
| 4.3.1 Monetary authorities | |
| 4.3.2 General government | Balances held abroad by embassies of India |
| 4.3.3 Banks | BAL Statement |
| 4.3.4 Other sectors \$ | FLA Survey Data |
| 4.4 Other assets | |
| 4.4.1 Monetary authorities | |
| 4.4.1.1 Long-term | |
| 4.4.1.2 Short-term | |
| 4.4.2 General government | |
| 4.4.2.1 Long-term | Annual Reports of International Institutions |
| 4.4.2.2 Short-term | |
| 4.4.3 Banks | |
| 4.4.3.1 Long-term | BAL Statement and Head office funds of the overseas branches of Indian Scheduled Commercial banks |
| 4.4.3.2 Short-term | |
| 4.4.4 Other sectors | |
| 4.4.4.1 Long-term \$ | FLA Survey Data |
| 4.4.4.2 Short-term | |
| 5. Reserve assets | |
| 5.1 Monetary gold | RBI Bulletin |
| 5.2 Special drawing rights | -do- |
| 5.3 Reserve position in the Fund | -do- |
| 5.4 Foreign exchange Assets | -do- |
| 5.4.1 Currency and deposits | Data in the template on International Reserves/Foreign Currency Liquidity |
| 5.4.1.1 With monetary authorities | -do- |
| 5.4.1.2 With banks | -do- |
| 5.4.2 Securities | -do- |
| 5.4.2.1 Equities | |
| 5.4.2.2 Bonds and notes | |
| 5.4.2.3 Money market instruments and financial derivatives | |
| 5.4.3 Financial Derivatives (net) | |
| 5.5 Other claims | |
| B. Liabilities | |
| 1. Direct investment in reporting economy | |
| 1.1 Equity capital and reinvested earnings \$\$ | RBI Bulletin - BOP Statement-Direct investments in India and Data on Reinvested earnings (based on FLA Survey) |
| 1.1.1 Claims on direct investors | |
| 1.1.2 Liabilities to direct investors | |
| 1.2 Other capital | |

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| 1.2.1 Claims on direct investors | |
| 1.2.2 Liabilities to direct investors \$\$\$ | FDI Loans – External Commercial Borrowings |
| 2. Portfolio investment | |
| 2.1 Equity securities | |
| 2.1.1 Banks @@ | |
| 2.1.2 Other sectors \$\$ | RBI Bulletin - BOP Statement-Portfolio investment in India. |
| 2.2 Debt securities | |
| 2.2.1 Bonds and notes | |
| 2.2.1.1 Monetary authorities | |
| 2.2.1.2 General government | Statement on Non-resident Rupees Accounts maintained with RBI |
| 2.2.1.3 Banks | Securitised external commercial borrowings raised by banks |
| 2.2.1.4 Other sectors ## | India's External Debt : A Status Paper/Quarterly Statement (Securitised external commercial borrowings) |
| 2.2.2 Money market instruments | |
| 2.2.2.1 Monetary authorities | |
| 2.2.2.2 General government | Statement on Non-resident Rupees Accounts maintained with RBI (Treasury Bills)+ FII Investments in Govt. Treasury Bills (India's External Debt : A Status Paper/Quarterly Statement) |
| 2.2.2.3 Banks | |
| 2.2.2.4 Other sectors | |
| 3. Financial derivatives | |
| 3.1 Monetary authorities | |
| 3.2 General government | |
| 3.3 Banks | |
| 3.4 Other sectors | |
| 4. Other investment | |
| 4.1 Trade credits | |
| 4.1.1 General government | |
| 4.1.1.1 Long-term | India's External Debt: A Status Report/Quarterly Statement – Export Credit component of bilateral credit |
| 4.1.1.2 Short-term | |
| 4.1.2 Other sectors | |
| 4.1.2.1 Long-term | India's External Debt : A Status Report/Quarterly Statement Suppliers' credit, Credit for defence purchases |
| 4.1.2.2 Short-term * | India's External Debt: A Status Report/Quarterly Statement: Short-Term Debt Others (trade related) Short-term Debt up to 6 months, above 6 months and up to 1 year |
| 4.2 Loans | |
| 4.2.1 Monetary authorities | |
| 4.2.1.1 Use of Fund credit and loans from the Fund | International Financial Statistics (IFS): Page 534 : India : Liabilities to IMF excluding SDR allocation |
| 4.2.1.2 Other long-term | |
| 4.2.1.3 Short-term | |

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| 4.2.2 General government | India's External Debt: A Status Report/Quarterly Statement |
| 4.2.2.1 Long-term | |
| 4.2.2.2 Short-term | |
| 4.2.3 Banks | |
| 4.2.3.1 Long-term | India's External Debt: A Status Report/Quarterly Statement/ RBI Bulletin |
| 4.2.3.2 Short-term | |
| 4.2.4 Other sectors | |
| 4.2.4.1 Long-term ** | India's External Debt: A Status Report/Quarterly Statement |
| 4.2.4.2 Short-term | |
| 4.3 Currency and deposits | |
| 4.3.1 Monetary authorities | India's External Debt: A Status Report/Quarterly Statement (Short Term External Debt Liabilities of Central Bank) |
| 4.3.2 General government | |
| 4.3.3 Banks @ | India's External Debt: A Status Report/Quarterly Statement |
| 4.3.4 Other sectors | |
| 4.4 Other liabilities | |
| 4.4.1 Monetary authorities | |
| 4.4.1.1 Long-term | |
| 4.4.1.2 Short-term | |
| 4.4.2 General government | |
| 4.4.2.1 Long-term # | India's External Debt: A Status Report/Quarterly Statement |
| 4.4.2.2 Short-term | |
| 4.4.3 Banks | |
| 4.4.3.1 Long-term | |
| 4.4.3.2 Short-term | India's External Debt: A Status Report/Quarterly Statement - (External Debt Liabilities of banking system) |
| 4.4.4 Other sectors | |
| 4.4.4.1 Long-term | |
| 4.4.4.2 Short-term \$ | FLA Survey Data |

R : Data are Revised

PR : Data are Partially Revised.

P : Data are Provisional.

\$: Based on survey on Foreign Liabilities & Assets

\$\$: Foreign Direct Investment (FDI) & Portfolio Investment are not adjusted for price changes.

\$\$\$: All liabilities (other than equity) between direct investor & direct investment enterprises are treated as other capital.

@ : Include accrued interest

* : Suppliers Credit upto 180 days are included.

** : Includes Buyers' Credit. Loan transactions between direct investor & direct investment enterprises are treated as other capital.

: Include Foreign Currency Convertible Bonds.

@@ : Equity Investments in Banks by Non-residents included under FDI.

#:: Includes SDRs 3,082.5 million allocated under general allocation and SDRs 214.6 million allocated under special allocation by the IMF done on August 28, 2009 and September 9, 2009, respectively.

- Note: i) NRO deposits are included under NRI deposits from the quarter ending June 2005. Supplier's Credits upto 180 days and FII investment in short term debt instruments are included under short term debt from the quarter ending March 2005.
- ii) Vostro credit balance is included in the liabilities of banking system under Other Liabilities, since September 2006
- iii) As per BPM6, SDR allocation is treated as debt liability