

Annex III

Proforma

Statement for Reporting of Information on Full/Part Time Banking Outlets (BOs) (Brick & Mortar Branch¹ or Fixed-Point Business Correspondent (BC) outlet²)/Offices/Other Fixed Customer Service Points (CSPs) i.e. other than BOs like ATMs, Cash Deposit Machines, Other Customer Services, etc. - Opened/Closed/Conversion, etc.

1. Bank/Institution Details³ : System Driven
2. Action for Reporting : Addition (Opening of new banking Outlet/unit, etc.)

Opened
Planned⁴

OR Updation
 Updating of existing Information
 Closure
 Permanent Closed
 Merged
 Conversion

3. If proforma is for updating information

3.1. Part-I Code of updating : _____

[Banking Outlet (Full/ Part-time), Administrative/Back Office (7 digits), NAIOs⁵, ATMs, Other Fixed CSPs (16 digits)]

3.2. Effective Date of Change : / /
 Day Month Year

4. For Conversion⁶

4.1.	Conversion From	: <input type="text"/>
4.2.	Conversion To	: <input type="text"/>
4.3.	Part-1 Code	: _____
4.4.	Conversion Date	: <input type="text"/> / <input type="text"/> / <input type="text"/> Day Month Year

¹ Manned by bank staff

² Including Access Points of Payments Banks

³ Depends on login credentials. Bank Code, Bank Name, Bank Category and Bank Group will be displayed in read only mode by the system.

⁴ In case of Planned, it is mandatory to select location till 'Revenue Center'.

⁵ Non-Administratively Independent Offices

⁶ Conversion from Brick & Mortar (B&M) Branch/Fixed Point BC outlet/Office/NAIO to Fixed Point BC outlet/B&M Branch/Office/NAIO or vice versa

5. For addition of a new Banking Outlet, then:

5.1. If B&M Branch (Staffed by bank)

5.1.1. Domestic Banking Unit / Overseas Banking Unit

5.2. If fixed point BC outlet

5.2.1. Corporate BC / Individual BC

5.2.2. Base/controlling branch Part-I Code, if applicable

5.2.3. IBA Registration Number: _____

6. For addition of a new Office⁷,

6.1. Domestic Office Unit / Overseas Office Unit

6.2. Administrative (including Head/ Regional/ Zonal/ etc.) Office

6.3. Training Centre

6.4. Back Office

6.4.1. Central Processing Centres (CPCs) (including Loan/ Deposit/ other liability/ Cheque book issuing, new account opening etc.)

6.4.2. Service Branches

6.4.3. Asset Recovery Branches

6.5. Treasury Branch Office

6.6. Forex Office

6.7. Any Other (Please specify) _____

6.8. Part-I code of the base branch/office, if applicable :

7. If NAIOs:

7.1. Extension Counter⁸

7.2. Satellite Office⁹

7.3. Exchange Bureau

⁷ For each type of office, bank will be required to submit separate proforma.

⁸ For applicable categories of bank (foreign banks, RRBs, cooperative banks), may be reported here. For commercial bank, there is no extension counter as they fulfil the criteria of Banking Outlet.

⁹ For applicable categories of bank (foreign banks, RRBs, cooperative banks) may be reported here. For commercial bank, there is no satellite offices as they fulfil the criteria of Banking Outlet.

7.4. Representative Office

7.5. Call Centre

7.6. Other (Please specify) _____

7.7. Part-I code of the base BO/office :

8. If other Fixed Location CSPs then

8.1. Mode of service

8.1.1. Electronic services

8.1.1.1. ATMs

8.1.1.2. Cash Recycler Machine (CRM)

8.1.1.3. Bunch Note Acceptor Machine (BNAM)/

Cash Deposit Machines (CDMs)

8.1.1.4. Electronic Kiosks

8.1.1.5. E-lobby

8.1.1.6. Other (Please specify)

8.1.2. Manual Services

8.1.2.1. Other Customer Services

8.1.3. Onsite / Off-site

8.2. Part-I code of the base BO/office, if applicable :

9. Details of banking outlets/offices/CSPs

9.1. Name : _____
(of Banking Outlet/ Office/NAIO/Other Fixed CSPs)

9.2. Applicable Category : General Permission
With Authorisation/ Approval/License¹⁰

9.3. If approval/ authorisation or Post-facto authorisation, then
License/ Authorisation Letter Number: _____

9.4. Date of License/ Authorisation Letter : / /
(See explanation) Day Month Year

¹⁰ For banks requiring license/permission (SCBs not having general permission, RRBs, Co-operative banks, etc.).

9.5. If it is a case of Re-validation¹¹ of License/ Authorisation

9.5.1. The reference number : _____

9.5.2. Date of Re-validation : / /

Day Month Year

9.6. Date of Opening (Actual/ Planned) : / /

Day Month Year

9.7. Part-I code of the linked currency chest (BO/Office), if not functioning as a Currency Chest :

10. Magnetic Ink Character Recognition (MICR) Code :

11. Indian Financial System Code (IFSC) :

12. Bank's Internal System(CBS) Code :

13. Location details

13.1. Country : To be selected from database

13.2. State : To be selected from database

13.3. District : To be selected from database

13.4. Sub-District : To be selected from database

13.5. Revenue Centre: To be selected from database Display population range group from database

13.6. Address

13.6.1. Address 1 : _____

13.6.2. Address 2 : _____

13.6.3. Name of the Post Office : _____

13.6.4. Pin Code :

¹¹ Applicable to banks requiring license/authorisation

13.7. Geo-coordinates

13.7.1. Longitude (upto 6 decimal place)

13.7.2. Latitude (upto 6 decimal place)

13.8. Communication Details:

13.8.1. Name (in case of fixed point BC outlets): _____

13.8.2. Tel. No./ Telex No. :
(For landline, include STD Code)

13.8.3. Mobile No.:

13.8.4. Fax No. (with STD Code) :

13.8.5. E-mail Address : _____

14. Working Days/ Hours

14.1. Full Time OR

14.2. Part Time

Days	Timings	
	From	To
All Days <input type="checkbox"/>	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs.	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs. and
	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs.	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs.
Monday <input type="checkbox"/>	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs.	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs. and
	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs.	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs.
Tuesday <input type="checkbox"/>	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs.	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs. and
	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs.	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs.
Wednesday <input type="checkbox"/>	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs.	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs. and
	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs.	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs.
Thursday <input type="checkbox"/>	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs.	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs. and
	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs.	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs.
Friday <input type="checkbox"/>	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs.	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs. and
	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs.	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs.
Saturday <input type="checkbox"/>	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs.	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs. and
	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs.	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs.
Sunday <input type="checkbox"/>	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs.	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs. and
	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs.	<input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> Hrs.

15. Additional centres served by Banking Outlets (Hub and Spoke model):

Multiple Selections from Centre Database

16. Service Offered (multiple selections may be made under each category as relevant)

16.1. Customer services offered at Banking Outlet

16.1.1. General banking

16.1.2. Personal banking including housing/consumer durable/vehicle finance

16.1.3. Fully electronic customer self-service branch, manned

16.1.4. Locker Facility

16.1.5. Money Transfer Facility

16.1.6. Currency Chest

16.1.7. Small coin depot

16.1.8. Specialised Finance branch

16.1.8.1. Agriculture finance

16.1.8.2. MSME finance

16.1.8.3. Other Corporate finance

16.1.9. Foreign exchange business

16.1.10. Capital market / investment banking services

16.1.10.1. Merchant / Mercantile Banking

16.1.10.2. Share Trading & Dmat Services

16.1.10.3. Mutual Fund¹² Products/ Services

16.1.11. Insurance Services

16.1.11.1. Life¹³

16.1.11.2. Non-life

16.1.12. Government business

16.1.12.1. Public provident fund (PPF) account

16.1.12.2. Pension accounts

16.1.12.3. Franking services

16.1.12.4. Tax Collection

16.1.13. Any Other Please Specify _____

16.2. Specialised administrative / back office activities handled by Office, if any

16.2.1. Treasury

16.2.2. Forex treasury

16.2.3. Forex Office

16.2.3.1. A Category OR

16.2.3.2. B Category

16.2.4. Government business, pension,

16.2.5. Currency chest

¹² Unit linked plans should be treated under Mutual Funds.

¹³ Includes health insurance and other similar products related to life.

16.2.6. Small coin depot

16.2.7. Asset recovery / reconstruction

16.2.8. Clearing and payment services

16.2.9. Processing centres (deposits, loans, trade finance, forex, cheques etc.)

16.2.10. Administrative activities (HO / ZO / TC / AO)

16.2.11. Any Other Please Specify _____

17. If B&M Branch/ Office¹⁴ is doing forex activity, then :

17.1. Authorised Dealer Category : A B C

17.2. Date of Authorisation : / /
 Day Month Year

17.3. In the case of 'C' Category office,
Part-I code of forex transaction settling
'A' or 'B' Category B&M Branch/Office:

18. Other Attributes

18.1. If Other Fixed Location CSPs - Electronic Services

18.1.1. Manned
18.1.2. Unmanned

19. Remarks :

20. Uniform Codes: Part-I (7/16 digits) :
(To be generated by system)

21. Part-II (7 digits) :
(To be generated by system)

¹⁴ Offices doing authorised dealer activities with customer interface will be considered as Banking Outlets.